

DISCLOSURE

Japan Equity Value + Alpha

Disclosure for Article 6 (Other Product)

The investments underlying this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

However, the strategy aims to review environmental, social, and governance (“ESG”) factors alongside financial factors, treated holistically and managed from a risk-return perspective in the investment process as the Portfolio Manager considers that ESG issues can influence investment risk and return.

The Portfolio Managers consider material sustainability risks into their investment decision-making processes in order to enhance their ability to manage risk more comprehensively and generate achievable, long-term returns for investors.

Sustainability risks mean an environmental, social, or governance event or condition that, if it occurs, could potentially or actually cause a material negative impact on the value of a mandate’s investment. Sustainability risks can either represent a risk of their own or have an impact on other risks and may contribute significantly to risks, such as market risks, operational risks, liquidity risks or counterparty risks.

Assessment of sustainability risks is complex and may be based on environmental, social, or governance data which is difficult to obtain and incomplete, estimated, out of date or otherwise materially inaccurate. Even when identified, there can be no guarantee that these data will be correctly assessed.

Sustainability risks may have a [more material] impact on the value of a mandate’s investments in the [medium to long] term.

The strategy does not apply a specific index as a reference index to attain environmental and social characteristics.

Derivatives:

The strategy may use derivatives for hedging and efficient portfolio management. The derivatives are currently not specifically aligned with the E/S characteristics as defined in the Regulation EU 2019/2088 (SFDR”) / EU Taxonomy.

Resources

You can find more SFDR information about the Sumitomo Mitsui DS Asset Management policies and strategies:

- SMDAM policies on SFDR:
 - <https://www.smd-am.co.uk/sustainability>
 - SMDAM Tokyo website: https://www.smd-am.co.jp/english/corporate/responsible_investment/esg/
- SICAV Prospectus – see for individual UCITS Funds at either:
 - <https://www.smd-am.co.uk/fund-centre/>
- Corporate Responsibility 'Sustainability Reports':
 - https://www.smd-am.co.uk/sustainability/?utm_source=popup&utm_medium=uk&utm_campaign=institutional
- 'Environment, Social And Governance Reports':
 - https://www.smd-am.co.uk/sustainability/?utm_source=popup&utm_medium=uk&utm_campaign=institutional

Disclaimers

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Risk warning: On 1 January 2021 the UK left the EU transitional arrangements and no longer benefits from passporting rights into EEA countries.

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